

<i>Manager</i>	<i>Investment Discipline</i>	<i>Required Document</i>
Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core S&P 1500 (SMA) <sup>2</sup>	<a href="#">Active Index Advisor's Account Opening Form</a>
Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core S&P 400 (SMA) <sup>2</sup>	<a href="#">Active Index Advisor's Account Opening Form</a>
Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core S&P 500 (SMA) <sup>2</sup>	<a href="#">Active Index Advisor's Account Opening Form</a>
Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core S&P 600 (SMA) <sup>2</sup>	<a href="#">Active Index Advisor's Account Opening Form</a>
Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core ADR/International (SMA) <sup>2</sup>	<a href="#">Active Index Advisor's Account Opening Form</a>
Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core S&P Global 1500 (SMA) <sup>2</sup>	<a href="#">Active Index Advisor's Account Opening Form</a>
Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core S&P Global 500 (SMA) <sup>2</sup>	<a href="#">Active Index Advisor's Account Opening Form</a>
Active Index Advisors, a division of Natixis Advisors, L.P.	Tax Managed Core Balanced 90/10 (SMA) <sup>2</sup>	<a href="#">Active Index Advisor's Account Opening Form</a>
Active Index Advisors, a division of Natixis Advisors, L.P.	Tax Managed Core Balanced 80/20 (SMA) <sup>2</sup>	<a href="#">Active Index Advisor's Account Opening Form</a>
Active Index Advisors, a division of Natixis Advisors, L.P.	Tax Managed Core Balanced 70/30 (SMA) <sup>2</sup>	<a href="#">Active Index Advisor's Account Opening Form</a>
Active Index Advisors, a division of Natixis Advisors, L.P.	Tax Managed Core Balanced 60/40 (SMA) <sup>2</sup>	<a href="#">Active Index Advisor's Account Opening Form</a>
Active Index Advisors, a division of Natixis Advisors, L.P.	Tax Managed Core Balanced 50/50 (SMA) <sup>2</sup>	<a href="#">Active Index Advisor's Account Opening Form</a>
Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core Large Cap Growth (S&P 500 Growth) (SMA) <sup>2</sup>	<a href="#">Active Index Advisor's Account Opening Form</a>
Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core Large Cap Value (S&P 500 Value) (SMA) <sup>2</sup>	<a href="#">Active Index Advisor's Account Opening Form</a>
Capital Wealth Planning, LLC	Custom Enhanced Dividend Income (SMA) <sup>3</sup>	<a href="#">Capital Wealth Planning's Custom Transition Strategy Form</a>
Capital Wealth Planning, LLC	Enhanced Dividend Income (SMA) <sup>1</sup>	Option Form 1112 – <a href="#">RJA/RJFS</a>
ClearBridge Investments, LLC	Custom Portfolio Solutions ♦ # (SMA) <sup>4</sup>	<a href="#">ClearBridge's Custom Portfolio Solutions Form</a>

- ♦ Accounts may invest in Master Limited Partnership (“MLP”) units, which may result in unique tax treatment, including Schedule K-1 reporting. Please consult your tax adviser for additional information regarding the tax consequences associated with MLP investments.
- # Manager frequently trades away from RJA. Additional information regarding the frequency and average costs related to trading away is available on Raymond James public website (see “Important Information Regarding Investment Manager Trading Practices” at [www.raymondjames.com/disclosure-trading-practices](http://www.raymondjames.com/disclosure-trading-practices), or a copy may be obtained from your financial advisor).
- 1 Client must provide an Option Agreement and Suitability Form and approval for covered call writing must be granted before the Manager will begin managing Client’s Account.
- 2 Active Index Advisor’s Account Opening Form (the “AIA Form”) is required on all new accounts and must accompany this Client Agreement. The workout period, annual gain target, and any other additional instructions must be specified. In addition, cost basis must be provided for any securities funding this Account and the cost basis accounting election must be set to Highest Cost; Active Index Advisor will not take action on an Account until all cost basis has been provided. **Available to non-qualified taxable accounts only.**
- 3 Capital Wealth Planning’s Custom Transition Strategy Form (the “CWP Form”) must accompany this Client Agreement, identifying the discipline, workout period, annual gain target, and any other additional instructions. The CWP Form must be submitted to and pre-approved by Capital Wealth Planning **before** AMS will process this Agreement and Capital Wealth Planning will initiate management. In addition, cost basis must be provided for any securities funding this Account; Capital Wealth Planning will not take action on an Account until all cost basis has been provided. Once the funding position(s) is/are fully liquidated, the portfolio will automatically transition to the corresponding RJCS discipline. **Available to non-qualified taxable accounts only.**
- 4 ClearBridge’s Custom Portfolio Solutions Form (the “CPS Form”) must accompany this Client Agreement, identifying the investment management portfolio allocations, annual gain target, and any other additional instructions. ClearBridge Investments must approve each Custom Portfolio request before investment management will commence; your Advisor will be informed promptly in the event of non-acceptance. In addition, cost basis must be provided for any securities funding this Account; ClearBridge will not take action on an Account until all cost basis has been provided.

## Raymond James Consulting Services Investment Management

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<i>Eagle Asset Management, Inc.</i>	<i>Enhanced Income (SMA)<sup>1, 10</sup></i>	<i>Option Form 1112 – RJA/RJFS</i> <a href="#">Eagle’s Custom Enhanced Income Account Opening Form</a>
Goldman Sachs Asset Management, L.P.	Tax Advantaged MSCI ACWI (ADR) (SMA) <sup>7</sup>	<a href="#">Goldman Sachs Form</a>
Goldman Sachs Asset Management, L.P.	Tax Advantaged MSCI EAFE (ADR) (SMA) <sup>7</sup>	<a href="#">Goldman Sachs Form</a>
Goldman Sachs Asset Management, L.P.	Tax Advantaged Russell 1000 (SMA) <sup>7</sup>	<a href="#">Goldman Sachs Form</a>
Goldman Sachs Asset Management, L.P.	Tax Advantaged Russell 3000 (SMA) <sup>7</sup>	<a href="#">Goldman Sachs Form</a>
Goldman Sachs Asset Management, L.P.	Tax Advantaged Russell 1000 Growth (SMA) <sup>7</sup>	<a href="#">Goldman Sachs Form</a>
Goldman Sachs Asset Management, L.P.	Tax Advantaged Russell 1000 Value (SMA) <sup>7</sup>	<a href="#">Goldman Sachs Form</a>
Goldman Sachs Asset Management, L.P.	Tax Advantaged S&P 500 (SMA) <sup>7</sup>	<a href="#">Goldman Sachs Form</a>
Goldman Sachs Asset Management, L.P.	Tax Advantaged S&P 500 Env&Soc Resp (SMA) <sup>7</sup>	<a href="#">Goldman Sachs Form</a>
Goldman Sachs Asset Management, L.P.	Tax Advantaged MSCI World (ADR) (SMA) <sup>7</sup>	<a href="#">Goldman Sachs Form</a>
Goldman Sachs Asset Management, L.P.	Tax Advantaged Enhanced Dividend S&P500 (SMA) <sup>7</sup>	<a href="#">Goldman Sachs Form</a>
Goldman Sachs Asset Management, L.P.	Tax Advantaged Russell 2000 (SMA) <sup>7</sup>	<a href="#">Goldman Sachs Form</a>
JP Morgan Asset Management	Tax-Smart U.S. All Cap Index (SMA) <sup>11</sup>	<a href="#">JP Morgan Account Opening Form</a>
JP Morgan Asset Management	Tax-Smart U.S. Carbon Transition Index (SMA) <sup>11</sup>	<a href="#">JP Morgan Account Opening Form</a>
JP Morgan Asset Management	Tax-Smart U.S. Focused Large Cap Index (SMA) <sup>11</sup>	<a href="#">JP Morgan Account Opening Form</a>
JP Morgan Asset Management	Tax-Smart U.S. Large Cap Index (SMA) <sup>11</sup>	<a href="#">JP Morgan Account Opening Form</a>
JP Morgan Asset Management	Tax-Smart U.S. Large-Mid Cap Index (SMA) <sup>11</sup>	<a href="#">JP Morgan Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core MSCI ACWI ESG Leaders (SMA) <sup>5</sup>	<a href="#">Parametric’s Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core MSCI EAFE (ADR) (SMA) <sup>5</sup>	<a href="#">Parametric’s Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core Russell 1000 (SMA) <sup>5</sup>	<a href="#">Parametric’s Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core Russell 3000 (SMA) <sup>5</sup>	<a href="#">Parametric’s Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core Russell 1000 Growth (SMA) <sup>5</sup>	<a href="#">Parametric’s Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core Russell 3000 Growth (SMA) <sup>5</sup>	<a href="#">Parametric’s Account Opening Form</a>

Italicized managers are affiliated with Raymond James.

- 1 Client must provide an Option Agreement and Suitability Form and approval for covered call writing must be granted before the Manager will begin managing Client’s Account.
- 5 Client must submit Parametric’s Account Opening Form, identifying the appropriate benchmark, ESG screens, funding amount, net realizable gains/losses, desired tracking error target and other additional information relevant to the tax management strategy being employed (if applicable). Cost basis must be provided for any equity securities and exchange traded funds funding this Account and the cost basis accounting election must be set to Highest Cost; Parametric will not take action on an Account until all cost basis has been provided. Equity securities and exchange traded funds used to fund the account will be accepted in kind and reviewed by Parametric. Fixed Income securities or mutual funds used to fund the Account will be automatically sold by Raymond James; Parametric will not accept such securities in its tax managed disciplines. Gains or losses from such sales will not be included as part of Parametric’s tax management strategy. **The tax management strategy is available to non-qualified taxable accounts only.** Parametric anticipates buying and selling Morgan Stanley common stock (“MS Stock”), the parent company of Parametric, in accordance with the third-party index selected for the account, as noted in the Account Opening Form and subject to change, at a weighting generally equal to the weighting of MS Stock in the selected index. The client may elect to restrict such purchases but should understand that implementation of such a restriction may result in material performance dispersion between their account and the selected index.
- 7 Goldman Sachs Asset Management Account Opening Form (the “GSAM Form”) is required on all new accounts and must accompany this Client Agreement. The appropriate benchmark, restrictions and account attributes must be specified. Cost basis must be provided for any securities funding this Account and the cost basis accounting election must be set to Highest Cost; Goldman will not take action on an Account until all cost basis has been provided. **Available to non-qualified taxable accounts only.**
- 11 JP Morgan’s Account Opening Form is required on all new accounts and must accompany this Client Agreement. The appropriate benchmark, restrictions and account attributes must be specified. Cost basis must be provided for any securities funding this Account and the cost basis accounting election must be set to Highest Cost; JP Morgan will not take action on an Account until all cost basis has been provided. **Available to non-qualified taxable accounts only.**
- 10 Client must provide an Option Agreement and Suitability Form (Form No. 1112) and approval for covered call writing must be granted before the Manager will begin managing Client’s Account. Eagle’s Custom Enhanced Income Account Opening Form (the “EAM Form”) is required on all new accounts and must accompany this Client Agreement. The workout period, annual gain target, and any other additional instructions must be specified. In addition, cost basis must be provided for any securities funding this Account and the cost basis accounting election must be set to Highest Cost; Eagle will not take action on an Account until all cost basis has been provided. **Available to non-qualified taxable accounts only.**

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Parametric Portfolio Associates, LLC	Custom Core Russell Mid Cap (SMA) <sup>5</sup>	<a href="#">Parametric's Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core Russell 1000 Value (SMA) <sup>5</sup>	<a href="#">Parametric's Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core Russell 3000 Value (SMA) <sup>5</sup>	<a href="#">Parametric's Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core S&P 400 (SMA) <sup>5</sup>	<a href="#">Parametric's Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core S&P 500 (SMA) <sup>5</sup>	<a href="#">Parametric's Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core S&P 600 (SMA) <sup>5</sup>	<a href="#">Parametric's Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core S&P 500 Growth (SMA) <sup>5</sup>	<a href="#">Parametric's Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core S&P 500 Value (SMA) <sup>5</sup>	<a href="#">Parametric's Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core MSCI KLD 400 Social (SMA) <sup>5</sup>	<a href="#">Parametric's Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core Equity (SMA) <sup>5</sup>	<a href="#">Parametric's Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core Calvert International (SMA) <sup>5</sup>	<a href="#">Parametric's Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core Calvert LCC (SMA) <sup>5</sup>	<a href="#">Parametric's Account Opening Form</a>
Parametric Portfolio Associates, LLC	Custom Core Calvert LCV (SMA) <sup>5</sup>	<a href="#">Parametric's Account Opening Form</a>
RiverFront Investment Group, LLC	Custom Equity (SMA) <sup>8</sup>	Investment Management Guidelines (supplied by RiverFront)
RiverFront Investment Group, LLC	Custom Fixed Income (SMA) <sup>8</sup>	
RiverFront Investment Group, LLC	Custom Multi-Asset (SMA) <sup>8</sup>	
RiverFront Investment Group, LLC	Custom Options (SMA) <sup>1,8</sup>	Investment Management Guidelines (supplied by RiverFront) & Option Form 1112 – <a href="#">RJA/RJFS</a>
Schafer-Cullen Capital Management	Enhanced Equity Income (SMA) <sup>1</sup>	Option Form 1112 – <a href="#">RJA/RJFS</a>
Shelton Capital Management	Equity Income (SMA) <sup>1</sup>	Option Form 1112 – <a href="#">RJA/RJFS</a>
Tandem Investment Advisors, Inc.	Equity (SMA) <sup>6</sup>	<a href="#">Tandem Investment Advisor's Custom Account Opening Form</a>
Tandem Investment Advisors, Inc.	Large Cap Core (SMA) <sup>6</sup>	<a href="#">Tandem Investment Advisor's Custom Account Opening Form</a>

- 1 Client must provide an Option Agreement and Suitability Form and approval for covered call writing must be granted before the Manager will begin managing Client's Account.
- 5 Client must submit Parametric's Account Opening Form, identifying the appropriate benchmark, ESG screens, funding amount, net realizable gains/losses, desired tracking error target and other additional information relevant to the tax management strategy being employed (if applicable). Cost basis must be provided for any equity securities and exchange traded funds funding this Account and the cost basis accounting election must be set to Highest Cost; Parametric will not take action on an Account until all cost basis has been provided. Equity securities and exchange traded funds used to fund the account will be accepted in kind and reviewed by Parametric. Fixed Income securities or mutual funds used to fund the Account will be automatically sold by Raymond James; Parametric will not accept such securities in its tax managed disciplines. Gains or losses from such sales will not be included as part of Parametric's tax management strategy. **The tax management strategy is available to non-qualified taxable accounts only.** Parametric anticipates buying and selling Morgan Stanley common stock ("MS Stock"), the parent company of Parametric, in accordance with the third-party index selected for the account, as noted in the Account Opening Form and subject to change, at a weighting generally equal to the weighting of MS Stock in the selected index. The client may elect to restrict such purchases but should understand that implementation of such a restriction may result in material performance dispersion between their account and the selected index.
- 6 Tandem Investment Advisor's Custom Account Opening Form (the "TDM Form") must accompany this Client Agreement for all taxable accounts funding with securities. The workout period, annual gain target, and any other additional instructions must be specified. In addition, cost basis must be provided for any securities funding this Account; Tandem Investment advisors will not take action on an Account until all cost basis has been provided.
- 8 RiverFront's Investment Management Guidelines must accompany this Client Agreement, identifying the workout period, net realizable gains/losses and any other management instructions accepted by RiverFront. Cost basis must be provided for any securities funding this Account and the cost basis accounting election must be set to Highest Cost; RiverFront will not take action on an Account until all cost basis has been provided. **These disciplines are available to non-qualified taxable accounts only.**